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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/552,526	10/11/2005	Toshikazu Kamiya	00005.001278.	4344
5514 7590 12/21/2009 FITZPATRICK CELLA HARPER & SCINTO 1290 Avenue of the Americas NEW YORK, NY 10104-3800				
EXAMINER				
MCCORMICK, MELENIE LEE				
ART UNIT		PAPER NUMBER		
1655				
MAIL DATE		DELIVERY MODE		
12/21/2009		PAPER		

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

### Office Action Summary

**Application No.**

10/552,526

**Applicant(s)**

KAMIYA ET AL.

**Examiner**

MELENIE MCCORMICK

**Art Unit**

1655

**Period for Reply** -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 17 September 2009.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1,3-7,9-11,18,20-22 and 28-32 is/are pending in the application.
- 4a) Of the above claim(s) 3,18,20-22 and 31 is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1,4-7,9-11,28-30 and 32 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: \_\_\_\_\_

## **DETAILED ACTION**

### ***Continued Examination Under 37 CFR 1.114***

A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 17 September 2009 has been entered.

Claims 1, 3-7, 9-11, 18, 20-22 and 28-32 are pending.

Claims 3, 18, 20-22 and 31 stand withdrawn for consideration.

Claims 1, 4-7, 9-11, 28-30 and 32 are presented for examination on the merits.

### ***Declaration***

The declaration under 37 CFR 1.132 filed 14 August 2009 is insufficient to overcome the rejection of claims 1, 4-7, 9-11, 28-30 and 32 under 35 U.S.C. 103(a) as set forth in the last Office action because: data discussed in the declaration is not commensurate in scope with the instant claims.

The declaration points to a synergistic effect demonstrated in example 3 at pages 38-40 of the specification. The composition of group 4 in Example 3 comprises 0.25% Amacha (hydrangea leaf) extract, 0.5% glucosamine and 0.5% Chondroitin sulfate and given that half the amount of this composition is provided to rats compared to the

amount of each individual component, the effect of the composition of group 4 does appear to synergistic and unexpected. The instant claims, however, are not drawn to a composition which comprises these components in these amounts. Instead, the composition of the instant claims is draw to a composition comprising leaves or branch ends of *Hydrangea macrophylla* Seringe var *Thunbergii* Makino (amacha) or an extract of said branch ends or leaves and an amino sugar or salt thereof or glycosmainoglycan or a salt thereof where in the leaves or branches or the extracts thereof and the amino sugar or the glycosaminoglycan are present in a ratio from 1:50 to 50:1 parts by weight (see e.g. claim 1). Thus, the claims do not necessarily require that glucosamine and chondroitin sulfate are present in the composition together with the amacha extract, which appears to be required for synergism as presented in Example 3 of the specification. For instance, as the claims are written, they read on a composition comprising glucosamine and amacha extract or a composition comprising chondroitin sulfate and amacha extract. In addition, the amount of 1:50 to 50:1 is very broad. The declaration points to example 3, which provides a synergistic effect for 0.25% Amacha extract, 0.5% glucosamine and 0.5% Chondroitin sulfate in a composition. This narrow disclosure does not provide support for the broad range instantly claimed. Given that the results of example 3 are unexpected, which is supported by the declaration, (see page 9 of the declaration), one of ordinary skill in the art would not expect that all amounts encompassed by the broad range of 1:50 to 50:1 would result in synergism. Therefore, the declaration is ineffective to overcome the instant rejection.

### ***Withdrawn Rejections***

The previous rejection under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement (the new matter rejection) has been withdrawn in light of the amendments to the claims, which no longer recite 'delaying the onset of arthritis'.

The previous rejection under 35 U.S.C. 112 first paragraph, for scope of enablement has been withdrawn in light of the amendments to the claims, which no longer recite 'delaying the onset of arthritis'.

### ***Maintained Rejections***

#### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 1, 4-7, 9-11, 28-30 and 32 stand rejected under 35 U.S.C. 103(a) as being unpatentable over Sorgente et al. (US 6,162,787) in view of Guardia et al. (2001)

in view of Balado (1953) and further in view of Matsuda et al. (1999) for the reasons set forth in the previous Office Action, which are restated below.

Sorgente et al. beneficially teach that oral administration of a composition comprising glucosamine or its salts and chondroitin sulfate (a type of glycosaminoglycan, as evidenced by applicant' claim 5) and/or its salts is effective for treating arthritis (see e.g. claims 1 and 29). Sorgente et al. further teaches that the arthritis may be osteoarthritis or rheumatoid arthritis (see e.g. claims 21-22). Sorgente et al. also teaches that the composition may contain auxiliary compounds, such as binders, vitamins, amino acids, fillers, gelatin, etc (see e.g. col 5, lines 51-57). Sorgente also discloses that the composition may be formulated as a tablet, capsule, suspension, aerosol spray and the like. A powder could be added to a food (i.e. a food additive) and a suspension reads on a drink.

Sorgente et al. does not explicitly teach that the composition additionally contains Hydrangea macrophylla or an extract thereof.

Guardia et al. beneficially teach that in an experimental model for inflammation, which is a suitable and simple model for evaluating potential anti-arthritis agents was used to determine the anti-inflammatory activity of flavonoids in adjuvant arthritis (see e.g. page 685-Discussion). Guardia et al. further teach that rutin was extremely effective in reducing inflammation (see e.g. page 685-Discussion). Guardia et al. further teach that the role of dietary flavonoids in the treatment of inflammatory diseases, such as rheumatoid arthritis is promising (see e.g. page 687).

Balado beneficially teaches that rutin was extracted and identified from the blossoms of *Hydrangea macrophylla* (see e.g. abstract).

Matsuda et al. beneficially teach that chemical constituents with anti-histamine activity were extracted from the leaves of *Hydrangea macrophylla* Seringe var. thunbergii Makino (see e.g. abstract).

It would have been obvious to one of ordinary skill in the art at the time the claimed invention was made to prepare a composition for use in treating arthritis comprising an extract of *Hydrangea macrophylla*, glucosamine and chondroitin sulfate. A person of ordinary skill in the art would have had a reasonable expectation of success in doing so based upon teaching of Sorgente et al. that a composition comprising glucosamine or its salts and chondroitin sulfate and/or its salts is effective for treating arthritis (see e.g. claims 1 and 29). In addition, based upon the teaching of Balado that rutin is extracted from *Hydrangea macrophylla* and the teaching of Guardia et al. that rutin is extremely effective in reducing inflammation in a rat arthritis model and shows promise for treating arthritis, a person of ordinary skill in the art would have been motivated to extract *Hydrangea macrophylla* for the rutin contained therein and add this extract to other known anti-arthritic agents, such as glucosamine and chondroitin sulfate as taught by Sorgente et al. "The idea for combining them flows logically from their having been used individually in the prior art"; *In re Kerkhoven*, 626 F.2d 846, 850, 205 USPQ 1069, 1072 (CCPA 1980) (citations omitted) (Claims to a process of preparing a spray-dried detergent by mixing together two conventional spray-dried detergents were held to be *prima facie* obvious.). This rejection is based upon the well established

proposition of patent law that no invention resides in combining old ingredients of known properties where the results obtained thereby are no more than the additive effect of the ingredients, See *In re Sussman*, 136 F.2d 715, 718, 58 USPQ 262, 264 (CCPA 1943).

A person of ordinary skill in the art would have had a reasonable expectation of success in particularly using the variety *Hydrangea macrophylla* Seringe var. *thunbergii* Makino because this was a known variety at the time and was known to contain therapeutic chemical constituents. Therefore, since *Hydrangea macrophylla* Seringe var. *thunbergii* Makino is simply a variety of *Hydrangea macrophylla*, one of ordinary skill in the art would reasonably expect this variety, which is known to chemical constituents in the leaves, to contain rutin in the flowers, as disclosed by Balado. A person of ordinary skill in the art would have a reasonable expectation of success in optionally choosing a particular part of the plant for extraction, such as the leaves, since the leaves are known to contain useful chemical constituents. As previously stated, Sorgente et al. also disclose that the composition may be formulated as a tablet, capsule, suspension, aerosol spray and the like. A powder could be added to a food (i.e. a food additive) and a suspension reads on a drink. It would have therefore been obvious to one of ordinary skill in the art to formulate a composition comprising glucosamine, chondroitin sulfate and an extract *Hydrangea macrophylla* Seringe var. *thunbergii* Makino in these forms. A person of ordinary skill in the art would have also had a reasonable expectation of success in providing the active ingredients in the composition (the glucosamine or chondroitin sulfate and rutin) within a ratio of 1:50 to 50:1 parts by weight. Please note that this range encompasses a vast number of possible parts by weight of each



component and encompasses adding the components in equal amounts. It would have been obvious to one of ordinary skill in the art to at least try adding the components of a composition rendered obvious by the instantly cited references in equal amounts and to adjust the amounts from there in order to optimize the composition for effectiveness.

From the teachings of the references, it is apparent that one of ordinary skill in the art would have had a reasonable expectation of success in producing the claimed invention. Therefore, the invention as a whole was *prima facie* obvious to one of ordinary skill in the art at the time the invention was made, as evidenced by the references, especially in the absence of evidence to the contrary.

### ***Response to Arguments***

Applicants also argue that the present invention achieves unexpectedly superior results over the closest prior art and point to example 3 at pages 38-40 of the specification as well as Dr. Kamiya's declaration. This is not found persuasive as the claims are not commensurate in scope with the composition of group 4 in Example 3 of the specification and the declaration. This is discussed above in response to the declaration.

The rejection is therefore deemed proper and is maintained.

***Conclusion***

No claim is allowed.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to MELENIE MCCORMICK whose telephone number is (571)272-8037. The examiner can normally be reached on M-F 7:30-4:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Terry McKelvey can be reached on 571-272-0775. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

M.M.

***/Patricia Leith/***

***Primary Examiner, Art Unit 1655***

